

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)      Page 1 of 2	ATTY. DOCKET NO. ROC9-2001-0062-US1	SERIAL NO. (Unassigned)  APPLICANT: James A. Hagan, et al.
		FILING DATE: (Herewith)      GROUP: (Unassigned)

Jc9711 U.S. PTO  
 09/954812  
 09/17/01

**REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AD	AA 6 1 7 4 2 2 2	1/16/2001	Sato, et al.	451	44	5/28/1996
	AB 5 9 4 4 5 8 4	8/31/1999	Toyama	451	41	
	AC 5 9 2 8 0 6 6	7/27/1999	Hasegawa, et al.	451	173	
	AD 5 7 2 7 9 9 0	3/17/1998	Hasegawa, et al.	451	44	
✓	AE 4 0 3 1 6 6 7	6/28/1977	Schestedt	51	105	
AD	AF 3 8 3 4 0 8 3	9/10/1974	Hoshi, et al.	51	57	
	AG					
	AH					
	AI					
	AJ					
	AK					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
AD	AL 5 0 2 3 9 6 1	2/02/1993	Japan	B24	B9/10	Abstract only
AD	AM 4 0 6 9 1 5 0	6/24/1992	Japan	B24	B9/08	Abstract only
AD	AN 1 0 0 5 7 5 9	1/10/1989	Japan	B24	B9/00	Abstract only
	AO					
	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

AD	AR	M. G. Schwabel et al, "Fixed Abrasive Grinding of Glass", published in <u>Finishing of Advanced Ceramics and Glasses</u> (R. Sabia, et al, editors; American Ceramic Society, 1999; pp. 175-183) ✓
AD	AS	N. S. Ong et al., "Semi-Ductile Grinding and Polishing", <u>Journal of Materials Processing Technology</u> 83 (1998), pp. 261-266.
AD	AT	M. C. Shaw, <u>Principles of Abrasive Processing</u> , Clarendon Press (1996), pp. 552-558. ✓

EXAMINER <u>Anthony Ojini</u>	DATE CONSIDERED <u>4/4/03</u>
-------------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. ROC9-2001-0062-US1	SERIAL NO. (Unassigned)
APPLICANT: James A. Hagan, et al.		
(Use several sheets if necessary)      Page 2 of 2	FILING DATE: (Herewith)	GROUP: (Unassigned)

REFERENCE DESIGNATION										U.S. PATENT DOCUMENTS				
EXAMINER INITIALS		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
	BA													
	BB													
	BC													
	BD													
	BE													
	BF													
	BG													
	BH													
	BI													
	BJ													
	BK													

FOREIGN PATENT DOCUMENTS																
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION			
		YES	NO													
	BL															
	BM															
	BN															
	BO															
	BP															

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)														
	BR	M. J. Ball, et al., "Electrolytically Assisted 'Ductile' Mode Diamond Grinding of BK7 and SF10 Optical Glasses", SPIE Vol. 1573 (1991); pp. 30-38.												
	BS													
	BT													

EXAMINER <i>Anthony Ojini</i>	DATE CONSIDERED <i>4/4/03</i>
-------------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A

Application Number.:

09/954,812

Filing Date:

September 17, 2001

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

First Named Inventor:

Hagen

Art Unit:

3723

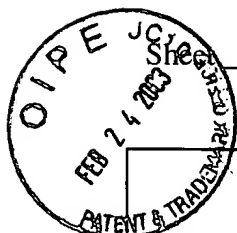
Examiner Name:

Unassigned

Attorney Docket Number.:

ROC920010062US1

Sheet 1 of 1



## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
AD		US - 6.045.436	04/04/2000	Rieger et al.	
AD		US - 6.319.100	11/20/2001	Denda et al.	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

RECEIVED  
FEB 27 2003  
TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
AD		WO 00/64631	11/02/2000	Visser		
AD		WO 01/10595 A1	02/15/2001	Homola et al.		
AD		EP 1 033 351 A1	09/06/2000	Bajorek et al.		

Examiner Signature	Anthony Ajini	Date Considered	4/4/03
--------------------	---------------	-----------------	--------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.